

# Classification of SD-OCT images using Deep learning approach

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**Abstract**— Diabetic Macular Edema (DME) is one of the many eye diseases which is commonly found in diabetic patients. If its left untreated it may cause vision loss. This paper focuses on classification of abnormal and normal OCT image volumes using a pre-trained CNN network. Using VGG16, features are extracted at different layers of network e.g.: Before fully connected layer and after each fully connected layer. On the basis of these features classification has been performed using different classifier, results

**Keywords:** Diabetic Macular Edema (DME), Deep learning, Feature Matrices, Visual graphic Geometry (VGG)

## I. INTRODUCTION

Diabetic Macular Edema (DME) is a type of eye disease due to the damage of blood vessels in the retina. When left untreated, DME will cause the build-up of liquid in the macula further leading to a swollen area on the retinal layer and consequently irreversible eye blindness. A recent review, based on SD-OCT images [2], conducted by Trichonas and Kaiser [1] highlighted five different patterns of structural changes in DME: sponge-like retinal swelling which is also known as Diffuse Retinal Thickening (DRT), Cystoid Macular Edema (CME) and Serious Retinal Detachment (SRD), Posterior Hyaloidal Traction (PHT) without Tractional Retinal Detachment (TRD) and PHT with TRD.

Automated diagnosis applied to OCT imaging is still at an early stage as only academic works have been published and no commercial products are yet available. Most of the pioneer works on OCT image analysis have focused on the problem of retinal layers segmentation [3, 4] or specific lesion (e.g., cysts) segmentation as explained in [5, 6]. More recently, SD-OCT databases with their corresponding ground-truths were provided for benchmarking; for instance a challenge (OPTIMA) was organized as a satellite event of the MICCAI 2015 conference. Regarding Computer Aided Diagnosis, only few works have recently been published [12, 13, 14, 15]. Some of these works were based on a set of “hand-crafted” features combining low level and high level features. Dimensionality reduction was done either through Principal Component Analysis or bag of Words. On a data set of 32 volumes [13, 14, 15], distributed evenly between normal and abnormal cases, the best results obtained were a sensitivity (SE) and specificity (SP) of 87.5% and 87.5%, respectively.

Nowadays, deep learning has witnessed significant advances as compared with other machine learning techniques. In the field of medical imaging deep learning is one of the most important area of research. A lot of research had been done on CT, MRI, PET, and X-ray images using deep learning and results are outperforming other learning algorithms with applications to dermatology [2], prostate cancer classification [8, 9], image registration [10, 11], lung cancer detection [17] and many others. Works presented are, for most of them, either based on stack Auto-Encoders or Convolutional Neural Networks. The later approach use, for most cases, either fine-tuning or transfer learning because the databases are not important enough to train a deep network from scratch.

This paper focuses on classification of normal and abnormal OCT images using one of the state of the art Deep learning network: VGG-16. Using VGG-16, features are extracted from the SD-OCT images at three different locations in the network (after the first, 2nd and 3rd fully connected layers). The images provided to the network are either, raw, denoised, crop or a combination of these preprocessing steps.

The rest of the paper is organized as follows. The next part will briefly present the dataset as well as the deep network used in this study. The third part will present the various experiments and the obtained results. The last part will highlight the main results obtained during this work.

## II. DATASET AND NETWORK

### 2.1 Dataset

The dataset used in the proposed algorithm has obtained an ethical approval and was acquired by the Singapore Eye Research Institute (SERI), using CIRRUS TM (Carl Zeiss Meditec, Inc., Dublin, CA) SD-OCT device [13, 14, 15]. The dataset consists of 32 OCT volumes (16 DME and 16 normal cases). Each volume contains 128 B-scans with resolution of 1,024 px × 512 px. All SD-OCT volumes were read and assessed by trained graders and identified as normal or DME based on evaluation of retinal thickening, hard exudates, intraretinal cystoid space formation, and subretinal fluid within the DME sub-set.

## 2.2 VGG Network and feature extraction

K. Simonyan and A. Zisserman [18] proposed a very deep convolutional networks for large-scale image recognition (VGG). They have designed number of VGG model e.g.; VGG19, VGG16, VGG13, VGG11 as shown in Fig.1 The best of them obtained 92.7% top-5 test accuracy in ImageNet Dataset, that comprises of over 14 million images belonging to 1000 classes.

ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input (224 × 224 RGB image)					
conv3-64	conv3-64 LRN	conv3-64	conv3-64	conv3-64	conv3-64
maxpool					
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	conv3-128
maxpool					
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
conv3-256	conv3-256	conv3-256	conv1-256	conv3-256	conv3-256
maxpool					
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
conv3-512	conv3-512	conv3-512	conv1-512	conv3-512	conv3-512
maxpool					
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					

Figure.1: VGG ConvNet Configuration

In this paper we have used the macroarchitecture of VGG16 as can be seen in Fig. 2.

The input to our VGG ConvNets is of a fixed-size  $224 \times 224$  RGB image, where each color channel received a copy of the same BScan from our Sd-OCT volumes. Then, the input image is passed through a stack of convolutional (conv.) layers. The convolution stride is fixed to 1 pixel; the spatial padding of conv. layer input is such that the spatial resolution is preserved after convolution, i.e. the padding is 1 pixel for  $3 \times 3$  convolution layers. Spatial pooling is carried out by five max-pooling layers, which follow some of the conv. layers (not all the conv. layers are followed by max-pooling). Max-pooling is performed over a  $2 \times 2$  pixel window, with stride 2. A stack of convolutional layers (which has a different depth in different architectures) is followed by three Fully-Connected (FC) layers: the first two have 4096 channels each, the third performs 1000-way ILSVRC classification and thus contains 1000 channels (one for each class). The final layer is the soft-max layer. The configuration of the fully connected layers is the same in all networks. All hidden layers are equipped with the rectification (ReLU) non-linearity activation function.

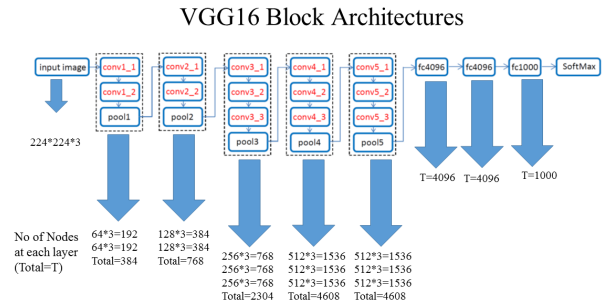


Figure.2 Microarchitecture of VGG16

## III. EXPERIMENTS AND RESULTS

### 3.1 Classification and Evaluation

At this stage on KNN (with  $K=1$  and  $3$ ) and Random Forest classifier (100 trees) were tested, using the feature vector provided by the VGG network with size ranging from 4096 to 1000 depending at which level of the FCC the classifier is connected to. The evaluation is done in leave of one out patient methodology. As each BScan is evaluated, a majority rule is employed to classify the whole volume.

For evaluation purposes, all the results are expressed in terms of Sensitivity (SE) and Specificity (SP) leave one out data as training/testing.

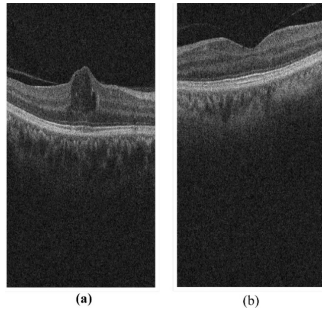
- Sensitivity (SE) – The ability of a test to correctly identify those with DME disease.
- Specificity (SP) – The ability of a test to correctly identify those without DME disease.

### 3.2 Experiments

Four experiments were conducted on the dataset with different preprocessing. Note that there are a total of 16 volumes each for DME and normal patients.

#### Experiment #1

Experiment #1 is carried out on raw datasets with no noise removal and without image cropping (A), where the layers are detected using the algorithm presented in [12]. Fig.3 shows the example of input image for DME and normal patient. Table 1 shows the obtained results for the different classifiers and different level in the FCC.



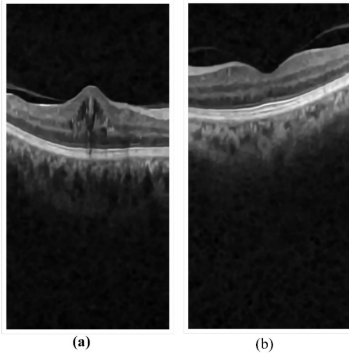
**Fig. 3 Raw Dataset. (a) DME patient (b) Normal Patient**

*Table.1 Classification results with no noise removal and no image cropping (A) after 1st Fully Connected Layer (1-FCL), 2<sup>nd</sup> Fully Connected Layer(2-FCL) and 3<sup>rd</sup> Fully Connected Layer(3-FCL)*

	1-FCL			2-FCL			3-FCL		
	Ac	Se	Sp	Ac	Se	Sp	Ac	Se	Sp
A	K-NN(k=1)								
	87%	93%	81%	93%	87%	100%	45%	35%	64%
	K-NN(k=3)								
	65%	81%	43%	87.5%	93%	81%	84%	81%	87%
	Decision Tree								
	87%	93%	81%	75%	93%	65%	84%	93%	75%

**Experiment #2**

Experiment #2 is carried out on datasets with noise removal but without image cropping. Fig.4 shows the example of input image for DME and normal patient. Table 2 shows the obtained results for the different classifiers and different levels in the FCC.



**Fig.4 Dataset with noise removal but without image cropping. (a) DME patient (b) Normal Patient**

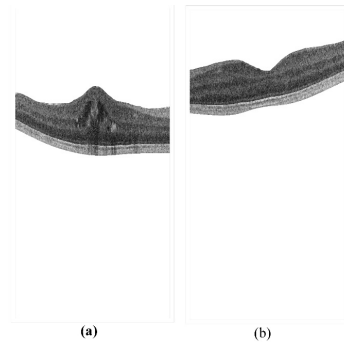
*Table.2 Classification results with noise removal but without image cropping (B) after 1st Fully Connected Layer (1-FCL), 2<sup>nd</sup> Fully Connected Layer(2-FCL) and 3<sup>rd</sup> Fully Connected Layer(3-FCL)*

	1-FCL			2-FCL			3-FCL		
	Ac	Se	Sp	Ac	Se	Sp	A c	Se	Sp
B	K-NN(k=1)								
	68.5%	37.5%	100%	87.5%	100%	75%	87.5%	75%	100%

K-NN(k=3)								
65%	31.5%	100%	90.6%	100%	81.2%	87.5%	81.5%	100%
Decision Tree								
71%	81.2%	43%	90.6%	93.5%	87.5%	90.6%	93.5%	87.5%

**Experiment #3**

Experiment #3 is carried out on raw datasets with no noise removal but with image cropping. Fig.5 shows the example of input image for DME and normal patient. Table.3 shows the obtained results for the different classifiers and different levels in the FCC.



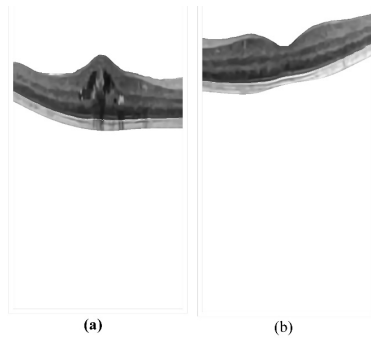
**Fig. 5 Dataset with no noise removal but with image cropping. (a) DME patient (b) Normal Patient**

*Table.3 Classification with no noise removal but with image cropping (C) after 1st Fully Connected Layer (1-FCL), 2<sup>nd</sup> Fully Connected Layer(2-FCL) and 3<sup>rd</sup> Fully Connected Layer(3-FCL)*

	1-FCL			2-FCL			3-FCL		
	Ac	Se	Sp	Ac	Se	Sp	Ac	Se	Sp
C	K-NN(k=1)								
	71%	43%*	100%	84%	93.5%	75%	84%	100%	86%
	K-NN(k=3)								
	76%	31%	100%	87.5%	93.5%	81%	81%	100%	62%
	Decision Tree								
	75%	93.5%	65%	87%	100%	75%	84.5%	93.5%	75%

**Experiment #4**

Experiment #4 is carried out on datasets with noise removal and image cropping. Fig. 6 shows the example of input image for DME and normal patient. It can be seen that all the harsh edges are smoothed and a clearer image of the retinal layer is seen. Moreover, the irrelevant parts are also excluded from feature extraction. Table.4 shows the obtained results for the different classifiers and different levels in the FCC.



**Fig. 6 Dataset with noise removal and image cropping. (a) DME patient  
(b) Normal Patient**

*Table.4 Classification with noise removal and image cropping (D) after 1st Fully Connected Layer (1-FCL), 2<sup>nd</sup> Fully Connected Layer(2-FCL) and 3<sup>rd</sup> Fully Connected Layer(3-FCL)*

	1-FCL			2-FCL			3-FCL		
	Ac	Se	Sp	Ac	Se	Sp	Ac	Se	Sp
D	K-NN(k=1)								
	75%	53.3%	100%	87%	87%	87%	87%	100%	75%
	K-NN(k=3)								
	53%	6%	100%	84%	81.5%	87%	87%	100%	75%
	Decision Tree								
	65%	81%	43%	84%	81.5%	87%	84%	93.5%	75%

#### IV. CONCLUSION

In conclusion, the development of OCT which provides high resolution of retinal images for DME detection plus the adaptation of deep learning has proven to improve image classification with high performance of more than accuracy 90%. Deep learning application on DME detection using VGG16 has increased in SE performance of more than 20% compared to previous researches. This opens up to a new, simple and effective method for early DME detection to aid ophthalmologists in biomedical technologies.

For future works, dimension reduction approach through PCA or BoW will be investigated as well as combination of deep learning architectures on a voting mode. Moreover, fine-tuning techniques will also be investigated.

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